

# INVESTMENT RECOMMENDATION FORM

Please select one of the following three options for your Fund's investments:

**Option 1:** Community Foundation's Investment Pools

**Option 2:** Community Foundation's Investment Pools with an emphasis on environmental, social and governance (ESG) factors

**Option 3:** Financial Advisor Managed Account

Return completed form to [service@growyourgiving.org](mailto:service@growyourgiving.org).

Name of Fund \_\_\_\_\_

Fund ID \_\_\_\_\_

☐ **Option 1:** The assets in the Fund will be invested in the Community Foundation's Investment Pools.

Select a mix from the options listed below, or create your own mix.

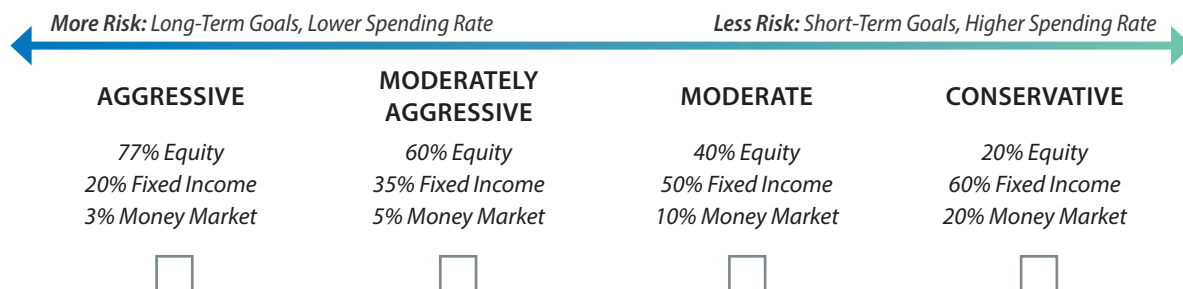
		<b>MORE RISK</b> Long-Term Goals Lower Spending Rate			<b>LESS RISK</b> Short-Term Goals Higher Spending Rate		
		AGGRESSIVE	MODERATELY AGGRESSIVE	MODERATE	CONSERVATIVE	RISK AVERSE	CUSTOM MIX*
Investment Pools	Domestic Equity	55%	43%	29%	14%	0%	
	International Equity	22%	17%	11%	6%	0%	
	Intermediate-Term Fixed Income	10%	10%	15%	18%	0%	
	Short-Term Fixed Income	10%	25%	35%	42%	0%	
	Money Market	3%	5%	10%	20%	100%	

\*Combined total must equal 100%

☐ **Option 2: The assets in the Fund will be invested in the Community Foundation's Investment Pools with an emphasis on environmental, social and governance (ESG) factors.**

- ▶ If you wish to use a pre-selected investment mix, follow the two steps below.
- ▶ If you prefer to create your own mix, complete the Custom Mix table with the percentages of each pool you wish to include.

**Step 1: Select your risk tolerance.**



**Step 2: Choose an ESG option for the equity allocation.**

- ☐ **SOCIAL IMPACT**  
The Social Impact Pool invests in organizations that are treating employees and customers fairly and are creating opportunities for the least advantaged. Additionally, the Pool focuses on investment managers from groups that have been traditionally excluded from the asset management industry.
- ☐ **ENVIRONMENTAL IMPACT**  
The Environmental Impact Pool invests in organizations that are best positioned to address and cope with rising climate risks by reducing carbon emissions, conserving resources, and adopting environmental policies.
- ☐ **BROAD ESG IMPACT**  
The Broad ESG Impact Pool invests in organizations that tackle global challenges through commitments to environmental action, diversity and inclusion, and good governance.

*Additional information about the ESG Pools is available at [www.growyourgiving.org/investing](http://www.growyourgiving.org/investing).*

**Or, build your own custom mix with ESG Pools.**

CUSTOM MIX		
<i>Combined total must equal 100%</i>		
Investment Pools	<b>Social Impact</b> (70% U.S. Stock / 30% Foreign Stock)	%
	<b>Environmental Impact</b> (70% U.S. Stock / 30% Foreign Stock)	%
	<b>Broad ESG Impact</b> (70% U.S. Stock / 30% Foreign Stock)	%
	<b>Domestic Equity</b> (100% U.S. Stock)	%
	<b>International Equity</b> (100% Foreign Stock: 80% Developed Markets / 20% Emerging Markets)	%
	<b>Intermediate-Term Fixed Income</b>	%
	<b>Short-Term Fixed Income</b>	%
	<b>Money Market</b>	%

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**Option 3: The assets in the Fund will be invested separately in a financial advisor managed account on your advisor's platform.** Your financial advisor will have online access to view your Fund information in the Community Foundation's portal, including balance, contribution and grant history, and will make investment selections for your Fund on your behalf.

*This option is available for funds with assets of \$100,000 or more. The Community Foundation will contact your financial advisor to set up the investment account. A Fund advisor or family member may not be named as the Fund's financial advisor.*

Financial Advisor Name		Firm Name	
Address	City	State	Zip Code
Phone		Email Address	

With your permission, your financial advisor can communicate your grant requests to the Community Foundation.

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Check here to give your financial advisor permission to communicate your grant requests.

#### Terms & Required Signature(s)

If the Community Foundation does not receive an investment recommendation for the Fund, assets will be held in the Money Market Pool.

*For assets in the Community Foundation's Investment Pools:* Allocations are rebalanced to targets once a month. In the interim, cash coming into the Fund will be held in the Money Market Pool. The responsibility for managing the Community Foundation's investment program is vested in the Community Foundation's Board of Directors through its Investment Committee. The percentages in the mix options may change from time to time upon Investment Committee review. For additional details regarding investment mixes and pools, refer to [www.growyourgiving.org/investing](http://www.growyourgiving.org/investing) to view the Investment Performance Summary and the Supplemental Report.

*For assets in a financial advisor managed account:* The assets in the Fund will be managed directly by the named financial advisor on a discretionary basis, until such time that the Community Foundation or the Fund Advisor(s) exercise(s) the option to make a different selection for management of this Fund. The named financial advisor will manage the assets of this Fund in accordance with the Fund advisor(s)' charitable objectives and the most current financial policies and standards of the Community Foundation, which can be found at [www.growyourgiving.org/fap-guidelines](http://www.growyourgiving.org/fap-guidelines). The Community Foundation will regularly review the financial performance of this Fund.

As required by IRS regulations:

- a) the investment recommendation is advisory only and the Community Foundation may, at its sole and absolute discretion, follow or decline to follow the recommendation;
- b) the Community Foundation may at any time, at its sole and absolute discretion, change the investment of all or any portion of the assets in the Fund;
- c) if the investment recommendation is accepted, the investments will be administered in accordance with the financial policies and fiduciary standards of the Community Foundation; and
- d) investments are subject to normal market and interest rate fluctuation risks, and any gain or loss generated by the above investments will be credited or charged to the Fund.

Signature	Printed Name	Date
Signature	Printed Name	Date